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PATENT APPLICATION

CERTIFICATE OF MAILING/FACSIMILE TRANSMISSION (37 CFR 1.8)

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Maria T. Sanchez
(Print Name)

Date: August 28, 2006

Maria T. Sanchez
(Signature)

Docket No. 2002JP314D

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application

Yoshinori NISHIWAKI et al.

Serial No.: 10/532,364

Art Unit: 1752

Filed: April 20, 2005

Examiner: HAMILTON, Cynthia

For: **CHEMICALLY AMPLIFIED POSITIVE PHOTSENSITIVE
RESIN COMPOSITION**

**INFORMATION DISCLOSURE STATEMENT AFTER THE FIRST OFFICE ACTION
ON THE MERITS, BUT BEFORE A FINAL OFFICE ACTION OR A NOTICE OF
ALLOWANCE (37 CFR 1.97 (c))**

August 28, 2006

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Serial No.: 10/532,364
Filed: April 20, 2005

Dear Sir:

In accordance with the duty of disclosure provisions of 37 CFR §1.56, there is hereby provided certain information which the Examiner may consider material to the examination of the subject U.S. patent application. It is requested that the Examiner make this information of record if it is deemed material to the examination of the subject application.

1. Enclosures accompanying this Information Disclosure Statement are:

Table of Contents containing all the documents pertaining to the references mentioned herein.

1a. ☒ Form PTO-1449.

EP 0 520 654 A1
GB 2 172 117 A
JP 4-182650 A
JP 6-43650 A
JP 11-95435 A
JP 52-80022
JP 53-133429
JP 54-23570 B2
JP 55-70345 A
JP 56-30850 B2
JP 57-37349 A
JP 58-114031 A
JP 60-205444 A
JP 61-205933 A
JP 62-124556 A
JP 62-215947 A
JP 2001-281863 A
JP 2001-312060 A
JP 2002-229190 A
JP 2002-6503 A
JP 2003-20717 A

English Language Abstract of JP 11-95435 A
English Language Abstract of JP 52-80022
English Language Abstract of JP 54-23570 B2
English Language Abstract of JP 61-205933 A
English Language Abstract of JP 2002-229190 A
English Language Abstract of JP 2002-6503 A
English Language Abstract of JP 2003-207017 A

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English Language Translation of JP 4-182650 A
Machine Language English Translation from JPO of JP 6-43650 A
Machine Language English Translation from JPO of JP 11-95435 A
English Language Translation of JP 62-124556 A
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Machine Language English Translation from JPO of JP 2001-312060 A
Machine Language English Translation from JPO of JP 2002-229190 A
Machine Language English Translation from JPO of JP 2002-6503 A
Machine Language English Translation from JPO of JP 2003-207017 A

- 1b. ☐ Copies of Information Disclosure Statement citations with the exception that copies of US Patents and US Patent Application Publications are not enclosed as the present application was filed after June 30, 2003 and the Patent Office has waived the requirement to enclose such documents under 37 C.F.R. § 1.98(a)(2)(i) pursuant to the Official Gazette announcement dated 05 August 2003. (If box not checked, see item 8a. below).
- 1c. ☐ An English language copy of search report(s) from a counterpart foreign application or a PCT International Search Report - attached as annex to PCT/ISA/206 (If box checked, see item 8a. below).
- 1d. ☒ English language abstract (complete or relevant portion(s)) or English language equivalent attached to each non-English language publication (see item 9c or 9e).

English Language Abstract of JP 11-95435 A
English Language Abstract of JP 52-80022
English Language Abstract of JP 54-23570 B2
English Language Abstract of JP 61-205933 A
English Language Abstract of JP 2002-229190 A
English Language Abstract of JP 2002-6503 A
English Language Abstract of JP 2003-207017 A

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Machine Language English Translation from JPO of JP 6-43650 A
Machine Language English Translation from JPO of JP 11-95435 A
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English Language Translation of JP 62-215947 A
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Machine Language English Translation from JPO of JP 2001-312060 A
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Machine Language English Translation from JPO of JP 2003-207017 A

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- 1e. ☐ Explanations of Relevancy of References (ATTACHMENT 1(d), hereto) for providing a concise explanation of each non-English publication.
2. ☐ This Information Disclosure Statement is filed under 37 CFR §1.97(b) before the latter of three months after the U.S. patent application filing date or the first Office Action on the merits. Accordingly, no fee or §1.97(e) Statement is required.
3. ☒ This Information Disclosure Statement is filed under 37 CFR §1.97(c) after the first Office Action on the merits, but before a Final Office Action or a Notice of Allowance.
- 3a. ☐ The §1.97(e) Statement in Item 5 below is applicable. Accordingly, no fee is required.
- 3b. ☒ The \$180.00 fee set forth in 37 CFR §1.17(p) in accordance with 37 CFR §1.97(c) is to be charged to Deposit Account No. 50-3309.
4. ☐ This Information Disclosure Statement is filed under 37 CFR §1.97(d) after the Final Office Action or the Notice of Allowance, but before payment of the Issue Fee.
- ☐ The §1.97(e) Statement (Item 5 below) is applicable.
- A Petition to the Commissioner is hereby made under 37 CFR §1.97(d) to request consideration of this Information Disclosure Statement. The \$_____ fee set forth in 37 CFR §1.17(i) is to be charged to Deposit Account No. 50-3309.
5. ☐ Statement Under §1.97(e) (*applicable if Item 3a or Item 4 is checked*)
- 5a. ☐ In accordance with 37 CFR §1.97(e)(1), it is stated that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.
- 5b. ☐ In accordance with 37 CFR §1.97(e)(2), it is stated that no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the

Serial No.: 10/532,364

Filed: April 20, 2005

undersigned after making reasonable inquiry, no item of information contained in this Information Disclosure Statement was known by any individual designated in §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

6. ☐ This is a continuation/divisional/continuation-in-part application under 37 CFR §1. 53(b). *(Check appropriate Items 6a and/or 6b and/or 6c).*
- 6a. ☐ Copies of the publications listed on Form PTO-1449 from prior Application Serial No., filed on, of which this application claims priority under 35 USC §120 have been omitted pursuant to 37 CFR §1.98(d) except those listed in 6b. below.
- 6b. ☐ Copies of the following publications listed on Form PTO-1449 which were not previously cited in prior Application Serial No._____, filed on _____, of which this application claims priority under 35 USC §120, are provided herewith:
- 6c. ☐ Copies of the publications listed in Item 1c. above are listed on Form PTO-1449 but are not included as they were .
7. ☐ This is a continuation/divisional application under 37 CFR §1.53(d). *(Check either Item 7a or 7b.)*
- 7a. ☐ The Issue Fee has not been paid.
- 7b. ☐ A Petition to Withdraw from Issue under 37 CFR §1.313(b)(5) has been granted. A continuation application under 37 CFR §1.53(d) after payment of the Issue Fee is proper in accordance with 37 CFR §1.53(d)(1)(ii).
8. ☐ This is a Supplemental Information Disclosure Statement. *(Check either Item 8a or 8b.)*
- 8a. ☐ This Supplemental Information Disclosure Statement under 37 CFR §1.97(f) supplements the Information Disclosure Statement filed on_____.
- 8b. ☐ This Supplemental Information Disclosure Statement is timely filed within one (1) month of the Notice under 37 CFR §1.97(i), mailed _____. (MPEP 609, Form ¶ 6.51, July 1997.)
9. ☐ In accordance with 37 CFR §1.98, a concise explanation of what is presently understood to be the relevance of each non-English language publication is:

Serial No.: 10/532,364
Filed: April 20, 2005


(Check appropriate Items 9a, 9b, 9c and/or 9d)

- 9a. ☐ satisfied because all non-English language publications were cited on the enclosed English language copy of the PCT International Search Report or the search report from a counterpart foreign application indicating the degree of relevance found by the foreign office. (See U.S. Patent and Trademark Office's authorization in the Federal Register, Vol. 57, No. 12, January 17, 1992, at page 2031 (Reply to Comment 68)).
- 9b. ☐ set forth in the application.
- 9c. ☒ satisfied because an English language equivalent is attached to each non-English language publication as follows:
- EP 0 520 624 A1 is equivalent to JP 6-43650 A
GB 2 172 117 A is equivalent to JP 61-205933 A
US 6,720,128 B2 and US 2003/0039916 A1 are equivalent to JP 2002-229190 A
US 6,635,400 B2 and US 2001/0044066 A1 are equivalent to JP 2002-6503 A
US 3,666,473 is equivalent to JP 54-23570 B2
US 4,115,128 is equivalent to JP 52-80022 and JP 56-30850 B2
US 4,275,139 is equivalent to JP 55-73045 A
US 4,506,003 is equivalent to JP 57-37349 A
US 4,506,006 is equivalent to JP 58-114031 A
US 4,247,611 is equivalent to JP 53-133429
US 4,678,737 is equivalent to JP 60-205444 A
- 9d. ☐ enclosed as Attachment 1(d), hereto.
- 9e. ☐ attached in abstracts in the English language as follows:
10. ☒ No admission is made that the information cited in this Statement is, or is considered to be, material to patentability nor a representation that a search has been made (other than search report(s) from a counterpart foreign application or a PCT International Search Report, if submitted herewith). 37 CFR §§1.97(g) and (h).

Serial No.: 10/532,364
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11. ☒ The Commissioner is authorized to credit any overpayment or charge any additional fee required under 37 CFR §1.17 for this Information Disclosure Statement and/or Petition to Deposit Account No. 50-3309.

Respectfully submitted,



Attorney for Applicant(s)
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Customer No. 26,289

CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)

Applicant(s): Yoshinori NISHIWAKI et al.

Docket No.

2002JP314D

Serial No.

10/532,364

Filing Date

April 20, 2005

Examiner

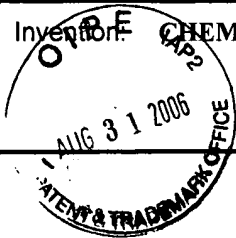
HAMILTON, Cynthia

Group Art Unit

1752

Inventor:

CHEMICALLY AMPLIFIED POSITIVE PHOTOSENSITIVE RESIN COMPOSITION

I hereby certify that this Information Disclosure Citation - 5 Pages

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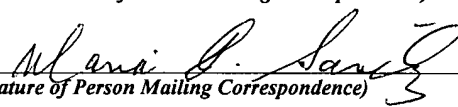
Commissioner of Patents and Trademarks, Washington, D.C. 20231-0001 on

August 28, 2006

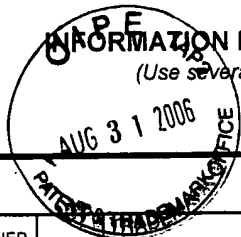
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MARIA T. SANCHEZ

(Typed or Printed Name of Person Mailing Correspondence)


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**INFORMATION DISCLOSURE CITATION**

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SERIAL NO.

10/532,364

Yoshinori NISHIWAKI et al.

FILING

April 20, 2005

GROUP

1752

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
		EP 0 520 624 A1	12/30/1992	EUROPE				
		GB 2 172 117 A	9/10/1986	UNITED KINGDOM				
		JP 4-182650 A	6/30/1992	JAPAN			✓	
		JP 6-43650 A	2/18/1994	JAPAN (equivalent to EP 0 520 624 A1)			✓	
		JP 11-95435 A	4/9/1999	JAPAN			✓	

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

		English Language Abstract of JP 11-95435 A.
		English Language Abstract of JP 52-80022.

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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	JP 53-133429	11/21/1978	JAPAN (equivalent to US 4,247,611)				
	JP 54-23570 B2	8/15/1979	JAPAN (equivalent to US 3,666,473)				
	JP 55-73045 A	6/2/1980	JAPAN (equivalent to US 4,275,139)				
	JP 56-30850 B2	7/17/1981	JAPAN (equivalent to US 4,115,128)				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

		English Language Abstract of JP 54-23570 B2.
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	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	JP 62-215947 A	9/22/1987	JAPAN			✓	
	JP 2001-281863 A	10/10/2001	JAPAN			✓	
	JP 2001-312060 A	11/9/2001	JAPAN			✓	
	JP 2002-229190 A	8/14/2002	JAPAN (equivalent to US 6,720,128 and US 2003/0039916)			✓	
	JP 2002-6503 A	1/9/2002	JAPAN (equivalent to US 6,635,400 and US 2001/0044066)			✓	

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

		English Language Abstract of JP 2003-207017 A.

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SERIAL NO. 10/532,364

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1752

[illegible][illegible]

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CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)

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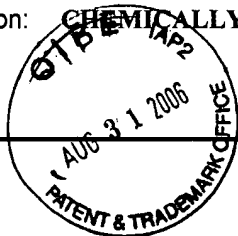
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Examiner

HAMILTON, Cynthia

Group Art Unit

1752

Invention: **CHEMICALLY AMPLIFIED POSITIVE PHOTSENSITIVE RESIN COMPOSITION**

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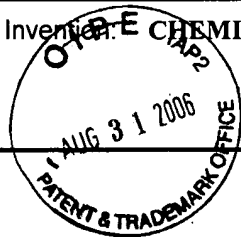
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Invention: **CHEMICALLY AMPLIFIED POSITIVE PHOTOSENSITIVE RESIN COMPOSITION**

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Invention: **CHEMICALLY AMPLIFIED POSITIVE PHOTOSENSITIVE RESIN COMPOSITION**

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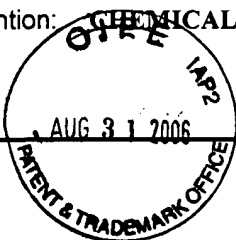
April 20, 2005

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HAMILTON, Cynthia

Group Art Unit

1752

Invention: **CHEMICALLY AMPLIFIED POSITIVE PHOTOSENSITIVE RESIN COMPOSITION**

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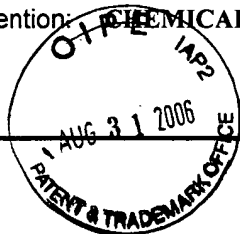
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Invention: **CHEMICALLY AMPLIFIED POSITIVE PHOTOSENSITIVE RESIN COMPOSITION**I hereby certify that this English Language Translation of JP 62-124556 A - 5 Pages

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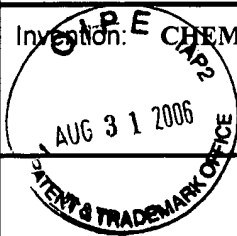
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Invention: **CHEMICALLY AMPLIFIED POSITIVE PHOTOSENSITIVE RESIN COMPOSITION**

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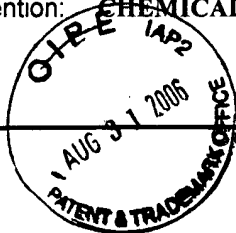
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HAMILTON, Cynthia

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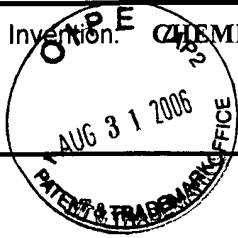
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Group Art Unit

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Invention: **CHEMICALLY AMPLIFIED POSITIVE PHOTOSENSITIVE RESIN COMPOSITION**I hereby certify that this English Language Translation of JP 4-182650 A - 6 Pages

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Applicant(s): Yoshinori NISHIWAKI et al.

Docket No.

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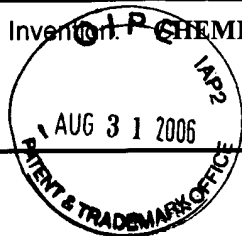
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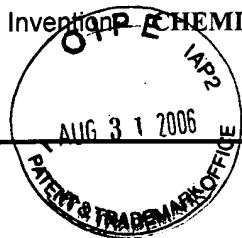
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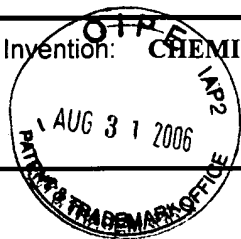
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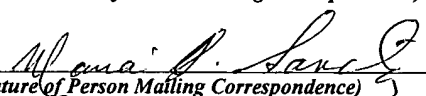
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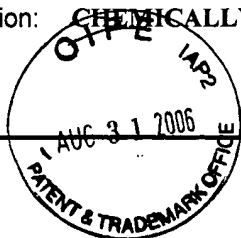
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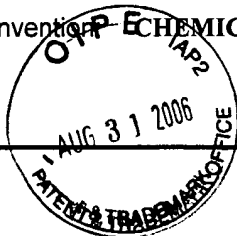
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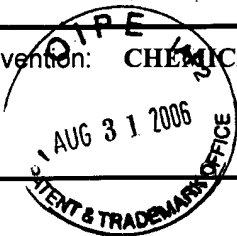
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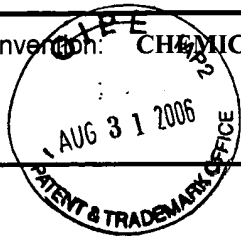
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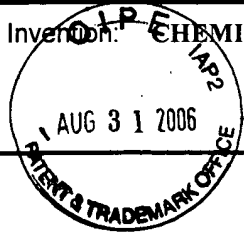
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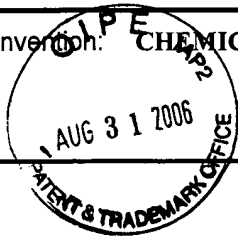
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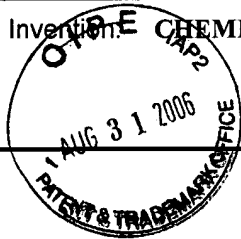
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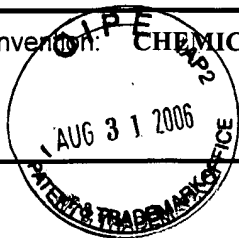
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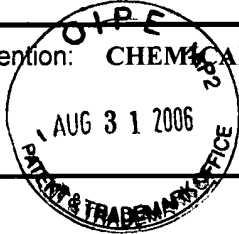
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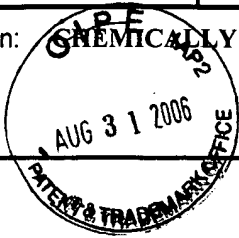
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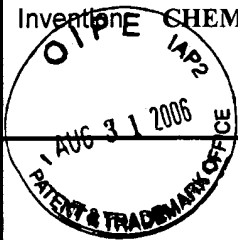
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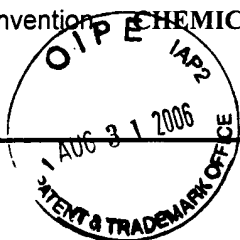
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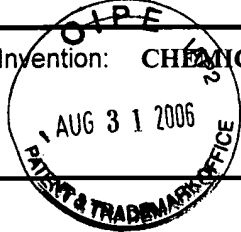
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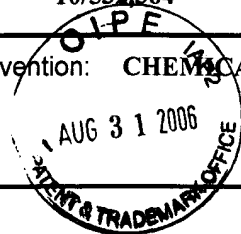
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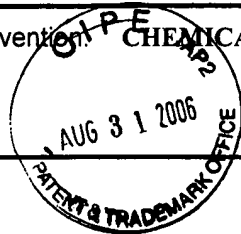
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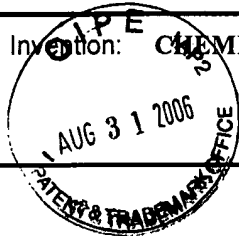
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Applicant(s): Yoshinori NISHIWAKI et al.

Docket No.

2002JP314D

Serial No.

10/532,364

Filing Date

April 20, 2005

Examiner

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Group Art Unit

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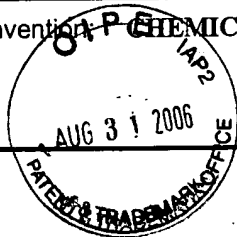
Invention: **CHEMICALLY AMPLIFIED POSITIVE PHOTOSENSITIVE RESIN COMPOSITION**

Table of Contents containing all the documents pertaining to the references
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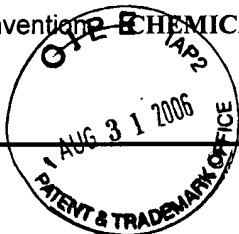
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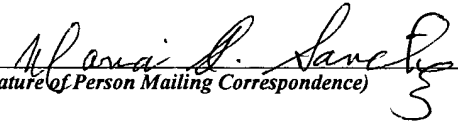
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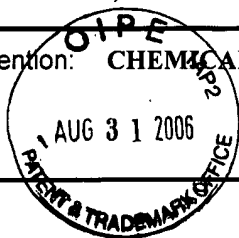
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